UPPER MISSISSIPPI RIVER POOL 8

INITIAL INCIDENT ACTION PLAN (IAP)



This Initial Incident Action Plan is developed to aid in initiating a timely and effective response to spills of oil and other hazardous materials in Pool 8 of the Upper Mississippi River. It is intended to be used during Operational Period #1 of response only at the discretion of the Incident Commander. It is not intended to supersede the direction of the Incident Commander or eliminate the need for ongoing communication during a response.

IAP Approved by Incident Commander(s):						
ORG	NAME		DATE/TIME			
		First Local IC (911, Fire Dept., County Emergency Mgr.)				
		First Responding State (MPCA or WI DNR)				
		FOSC; USCG (Vessel or facility), EPA (facility, train or other)				
		USFWS Lead Representative				
		MN DNR /WI DNR Lead Representative				

Upper Mississippi River Refuge, Pool 8 INITIAL INCIDENT ACTION PLAN

EXECUTIVE SUMMARY

In order to best prepare for oil and hazardous material spills in Pool 8 of the Upper Mississippi River, an interagency team comprised of representatives from the US Environmental Protection Agency (US EPA), US Fish and Wildlife Service (USFWS), US Coast Guard, and other federal, state, local agencies, and private sector, identified the need for a specialized planning document that would: 1) describe the roles that agencies and other entities would likely play in an incident, and 2) give responders a mechanism to help organize both in advance and during a response. This planning tool would also help multiple agencies to coordinate their operations and resources and make effective and efficient use of their personnel, supplies and time.

To help meet these needs, the enclosed Incident Action Plan (IAP) document was created. The plan has been tailored to identify the forms most likely to be used in a Pool 8 response and includes potential objectives for Pool 8 as well as a detailed emergency contact list. The plan provides a concise and coherent means of capturing and communicating the overall incident priorities, objectives and strategies in the context of both operational and support activities.

The IAP was developed to aid the implementation of the Incident Command System (ICS). During an incident, the ICS emphasizes orderly and systematic planning and this document is intended to be the central tool for planning during the Operational Period #1 of response at the discretion of the Incident Commander (IC). The plan is not intended to supersede the direction or authority of the IC, or to preclude communication or flexibility in response. Incidents vary in their kind, complexity, size and requirements for detailed or written plan. The level of detail required in an Incident Action Plan will vary according to the size and complexity of the response. After the operational period #1 of an incident, it is expected that this IAP will be superseded by a response specific IAP as needed.

The IAP was developed to be consistent with, and support, existing plans and procedures including the Region 5 RCP/ACP, the Upper Mississippi River Spill Response Plan and Resource Manual, the Upper Mississippi River National Wildlife and Fish Refuge Pool 8 Geographic Response Plan, state response plans and local response plans.

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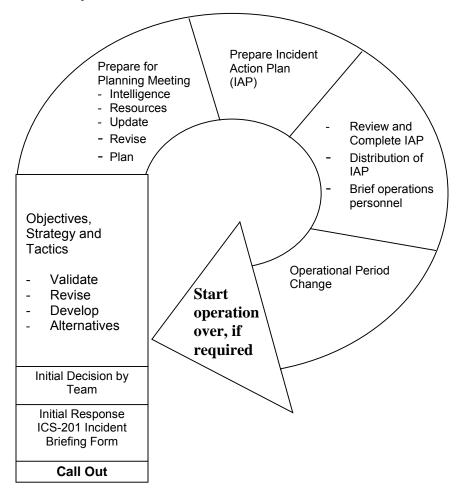
BACKGROUND INCIDENT ACTION PLANNING "P"

Incident Action Planning Process

In the Incident Command System (ICS), considerable emphasis is placed on developing effective Incident Action Plans (IAP). A planning process has been developed as a part of the ICS to assist planners in the development of a plan in an orderly and systematic manner. Not all incidents require detailed written plans. Recognizing this, the following planning process provides a series of basic planning steps, which are generally appropriate for use in any incident situation. The determination of the need for written IAPs and attachments/forms is based on the requirements of the incident, and the judgment of the Incident Commander. The Planning Section Chief prepares the Incident Action Plan with input from the appropriate sections and units of the Incident Management Team. It should be written at the outset of the response and revised continually throughout the response.

The diagram below shows the process and steps involved to develop an IAP. The IAP included in this document is intended to help guide response through the Operational Period #1 cycle of an incident on Pool 8 of the Upper Mississippi River.

The Planning Cycle and Daily flow of events:



NOTE: The cycle above assumes the first operational period. It can be adjusted to operational shifts of any duration.

Agencies	General Roles and Responsibilities
Responsible Party/Facility/Industry	The spiller, or responsible party (RP), has the primary responsibility to conduct spill cleanup, following the procedures listed in the facility response plan. The first response action of the RP is making notification of an incident to appropriate other responders of the incident, according to law and the RP's own response plan. The RP conducts whatever response actions are necessary and for which their personnel are trained and equipped. The RP is required to have authorized and qualified individuals available 24 hours a day to respond to a spill. The RP should also have sufficient funds available to cover the cost of pollution response to the limit of liability for the vessel or facility. As the priorities of an incident evolve, they often include off-site and environmental concerns. The RP has the lead role in responding to these concerns, under the oversight of state or federal agencies. The RP is also liable for restoring or replacing natural resources which may be injured or lost due to the spill, and should coordinate with the natural resource trustees (via the NRDAR Liaison in the IC) as part of the Natural Resource Damage Assessment & Restoration process. The RP will be represented at the command level of the response organization to represent their interests and to help coordinate assets and response actions. The RP should conduct inquiries into the cause of an incident. This is often done with the participation or oversight of state or federal agencies such as the Occupational Safety and Health Administration or Department of Transportation. The RP should conduct a critique of their response to an incident and revise prevention, preparedness, and response measures accordingly. If the responsible party does not respond properly, the On-Scene Coordinator shall take appropriate response actions and should notify the responsible party of the potential liability for federal response costs incurred by the On-Scene Coordinator pursuant to the OPA and CWA.
Local Fire, Police, Sheriff & Hazmat Teams	Local units of government typically have the primary role in protecting the public's safety and property from a spill through police and fire department response. During the initial stages of an incident, when life and safety issues are paramount, local officials (Fire/Police/Sheriff) will be "in charge" of the response to an incident until such time that they decide to enter into a unified command. These teams will not perform cleanup work, but will rather stabilize public safety threats during incidents and turn incidents over to responsible parties or to the state agencies (WI DNR, MPCA) for cleanup.
Counties & Emergency Management	During the response and recovery stages of an event, the County Emergency Management acts as a liaison between federal, state, and local units of government to promote speedy access to emergency resources and recovery funding. In Minnesota, Homeland Security and Emergency Management (HSEM) helps to coordinate activities before, during, and after emergencies through partnerships with local, state, federal, and private agencies. The Director of HSEM serves as the state Coordinating Officer and the Governor's Authorized Representative for all presidential declared disasters and emergencies. HSEM also organizes long-term disaster recovery efforts, coordinates local government emergency planning, authorizes use of the CAT teams, and reviews emergency operations plans for compliance. County Emergency managers work directly with HSEM and can provide Emergency Operation Centers (EOCs) and other resources. In Wisconsin, county emergency managers organize under a regional manager within the Wisconsin Division of Emergency Management (WEM), which is under the Wisconsin Department of Military Affairs.
Wisconsin Department of Natural Resources (WI DNR)	During a spill response, appropriate DNR staff will be contacted (local biologists, technicians, law enforcement officers, Wildlife Health, Regional Spill Coordinators, and Public Affairs) and establish a response protocol delineating specific roles and responsibilities of each program. Determine what level of response, if any, is necessary to protect and respond to potentially threatened or injured fish, wildlife, and sensitive environments. If appropriate, WDNR personnel will contact the On-Scene Coordinator (OSC) to request participation in the spill response. Local WDNR staff, along with USFWS personnel, ensures that resources at risk are clearly identified and communicated to the OSC. Participate in the ICS command structure, as necessary. The USFWS and WDNR have joint responsibilities for overseeing any activity that involves the handling of wildlife and the WDNR's Wildlife Rehabilitation Liaison will coordinate with area wildlife rehabilitators as necessary. The WDNR's Wildlife Veterinarian will provide veterinary support and expertise as necessary. The Department is the lead coordinating agency for Emergency Support Function 10 (Oil and Hazardous Materials) as part of the Wisconsin Response Plan. The primary responsibility of ESF 10 is to ensure that the State has a coordinated response to releases of oil and other hazardous materials that pose a threat to public health and safety and the environment. State Statue 292.11 is the enabling legislation defining the responsibility of the Department when dealing with hazardous substance release (also known as Spills Law). In order to help implement this law, the DNR spills program was established to provide technical assistance and support within the agency and to those outside the agency. Each DNR region has a spill coordinator specifically trained to help responsible parties, response agencies and other DNR staff when a spill occurs. If a responsible party is unable or unwilling to provide adequate response, the DNR has the authority to identify, locate,

Minnesota Pollution Control Agency (MPCA)	The Minnesota Pollution Control Agency (MPCA) is the lead agency for state response to most oil and hazardous substance incidents in Minnesota. For agricultural chemical incidents in the state, the Minnesota Department of Agriculture is the lead agency. The MPCA will be in support of local command unless asked to join unified command. The MPCA will monitor cleanup progress by the RP, and evaluate cleanup results for adequacy of environmental protection. If there is no RP known or available, or if the RP is unable or unwilling to complete the cleanup, then the state may take over cleanup using contractors.
Minnesota Department of Natural Resources (MN DNR)	The Minnesota Department of Natural Resources (MNDNR) is co-trustee with the MPCA for the natural resources of the state of Minnesota, as declared by the Governor, and a co-trustee with the USFWS concerning the management of migratory birds and other resources. The MNDNR is charged with control of all state-owned lands, parks, timber, waters, minerals, and wildlife in Minnesota. This includes the protection, preservation, and propagation of the fish and wildlife of the state. In response to a spill event, MNDNR personnel (conservation officers, biologists, and managers) have some of the following responsibilities: - Notify all necessary MNDNR personnel and establish a response protocol describing the role of responders. - Coordinate effort with other responding trustees, such as MPCA and the USFWS. - Provide responders with specific fish and wildlife habitat information at Pool 8. The MNDNR will also consult with the responders as to the best locations for staging and recovery areas as well as access points. - Provide responders with critical habitat information for state-listed threatened and endangered species as well as information on sensitive natural communities and special concern species found in Pool 8. - Provide responders with technical assistance and expertise on potential effects of oil and hazardous substances on fish and wildlife and their habitats. - Coordinate wildlife rescue and rehabilitation efforts with the USFWS. As a natural resource trustee, the MNDNR works with USFWS and other co-trustees to assess damages to restore natural resources (as circumstances allow) lost or injured due to spill. Data acquired would be used to determine the extent of damage to natural resources, to develop restoration or replacement strategies, and to develop and submit a claim for damages to the Responsible Party to implement the most appropriate restoration actions.
US Fish & Wildlife Service (USFWS)	The USFWS is responsible for management of 29,800 acres of lands and waters within Pool 8 of the Upper Mississippi River National Wildlife and Fish Refuge, migratory birds, federally-listed threatened and endangered species, inter-jurisdictional fishes, and their habitat. The Refuge District Manager, Assistant District Manager, or Law Enforcement Officer is the initial Refuge point of contact for any spill response in Pool 8. USFWS spill response is guided by national and regional USFWS response plans. USFWS may initiate a Pollution Fund Response Agreement (PFRA) with USCG to provide funding to support the USFWS response during an oil spill in navigable waters. During a response occurring on USFWS lands, USFWS should be involved in the decision-making processes by serving as a Unified Commander. USFWS will also provide responders with: information about wildlife and fishery resources in Pool 8, recommendations for preventing/minimizing spill impacts to Refuge resources, assistance to identify response staging and access areas within the Refuge, and participation in Shoreline Cleanup and Assessment Technique teams (SCAT). USFWS will also fulfill endangered species consultation responsibilities or assist obtaining other required federal wildlife permits, as necessary. USFWS may also assist operations supporting or overseeing reconnaissance, transport, recovery, salvage, deterrent, and rehabilitation of wildlife. USFWS provides this assistance in partnership with the WI DNR, MN DNR, and/or USDA Wildlife Services through the Incident Command System (ICS), as requested by the Incident/Unified Command, within the Wildlife Branch (Operations), Environmental Unit (Planning), and as an Agency Representative (Command). The USFWS will also restore fish and wildlife resources impacted by spills through the Department of the Interior's Natural Resource Damage Assessment (NRDA) trustee authorities. NRDA actions are completed in coordination with, but separately from, response assistance actions.
US Department of Agriculture, Animal Plant Health Inspection Service, Wildlife Services (USDA WS)	USDA APHIS Wildlife Services has no intrinsic authorities of its own that directly apply to wildlife issues in a chemical or oil spill event. It does however, because of its other wildlife expertise, have extensive operational and technical capabilities to assist with proper humane capture, handling, hazing, transport, and other issues that typically arise in oil spill situations. The Agency implements sound and integrated surveillance, deterrence and capture techniques and transport as part of regular day to day work activities. In addition, USDA WS is an emergency response agency that operates under the National Response Framework (NRF) and participates in emergency response in all regions of the United States working closely with other federal, state, tribal and local governments, along with the private sector to provide assistance and coordination during all-hazards emergencies, including oil spills. The Agency has the capability to respond to an incident under the Surveillance and Emergency Response System (SERS). SERS, an essential component of the USDA Wildlife Services National Wildlife Disease Program, serves as the primary emergency response contact point within APHIS Wildlife Services. Incident Response Teams (IRT) are made up of wildlife biologists and specialists that act as first responders. Team members have current medical clearances for personal protective equipment, HAZWOPER training and other specialized training, extensive Incident Command System training and have been deployed to oil spill and other emergency response incidents.

US Environmental Protection Agency (US EPA) Region 5	US Environmental Protection Agency (EPA) Region 5 has jurisdiction throughout Pool 8. US EPA provides Federal On-Scene Coordinators (FOSC) when an incident is federalized for spills originating from a source other than a vessel or marine transportation facility where: The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC will be in support of local command unless asked to assume control. The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. The FOSC shall ensure that the Natural Resource Trustees are promptly notified of discharges or releases and shall coordinate all response actions with the trustees. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.
US Army Corps of Engineers (US ACE)	US Army Corps of Engineers (US ACE) staff are not trained or permitted to take part in spill response activity. Locks and dams may be accessed for use by responders, pending Lockmaster approval.
US Coast Guard (USCG)	 The USCG provides Federal On-Scene Coordinators (FOSC) for oil discharges, when an incident is federalized and the source is either a vessel or marine transport related facility where: The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC will be in support of local command unless asked to assume control. The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. The FOSC shall ensure that the Natural Resource Trustees that may be impacted are promptly notified of discharges or releases. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.

Incident Name		2. Operational Period to be covered	d by IAP (Date/Time)	IAP				
	<u>-</u>	From: <u>DISCOVERY</u> To: <u>OPER</u>	ATIONAL PERIOD #1	COVER SHEET UMR Pool 8				
INITIAL INCIDENT ACTION PLAN The items below are included in this Incident Action Plan								
- <u>ICS 202</u> Response Objectives. (Select from list as appropriate)								
- <u>ICS 234</u>	Work Analysis Matri	X. (Incident specific objectives from	ICS 202, strategies and ta	ctics/tasks)				
- <u>ICS 207</u>	Organization Chart.							
- <u>ICS 204</u>	Assignment List. (I	Fill in operations personnel contact nam	ne, incident-specific assigr	nments & resource summary)				
- <u>ICS 205</u>	Incident Communica	ations Plan. (Phone/Radio Conta	ct list)					
- <u>ICS 206</u>	Medical Plan. (Medi	cal aid stations, hospitals and emerger	ncy procedures)					
- <u>ICS 223</u>	Health and Safety M	lessage. (General Safety Messag	e and Major Hazards/ Ris	ks)				
- <u>ICS 214</u>	Unit Activity Log. (Details of unit activity, including team a	ctivity or individual activity)				
		Other Attachments						
The	following attachments are no	t included in the IAP. Please check and	I include additional forms,	as needed.				
ICS 208 Site	Safety and Control Plan(s)**	**note: unless otherwise specified, e with the safety policies and procedu						
ICS 213 Gene	eral Message Form (for issu	uing approved information releases from	n the IC to the JPIC. (PIO'	s))				
ICS 225 Maps	s / Charts (See Pool 8 Over	view Strategy Map and USACE Naviga	ational Charts. Select and	add maps, as necessary)				
ICS 232 Reso	ources at Risk Summary (Se	ensitive areas list of priorities. See <u>Inlan</u>	d Sensitivity Atlas Maps 8	Pool 8 Overview Strategy				
<u></u>	A	dd tables or maps, as necessary)						
Weather Fore	ecasts / River flow – currents -	- conditions						
		_						
		_						
		General Incident Summary	<u></u>					
	Incident Information and Incident Status (Include Incident location, latitude/longitude, estimated quantity spilled, spill rate etc.)							
Prepared By: (Name/Title)			Date/ Time: ———					
Approved by: (Name/Title)								

Incide	ent name:	ICS – 202 INCIDENT OBJECTIVES UMR Pool 8					
Objec	ctives						
1. [Develop a response structure.						
2. E	Establish Unified Command and establish a	n Incident Command Post (via County Emergency Management).					
3. E	Ensure the health and safety of the public ar	nd response personnel.					
4. F	Provide and manage necessary communica	tions.					
5. lo	dentify the Responsible Party and determin	e ability to respond to the spill.					
6. C	Conduct all the appropriate notifications (esp	pecially the USFWS), including notifications to downstream communities.					
7. lı	nform and update the community and public	G.					
8. 8	Secure the incident scene, restrict river traffi	c as necessary and secure all evidence.					
	Conduct response operations to control/stop lownstream and minimize response related	the source of the spill, contain, recover or exclude released material in Pool 8 & environmental impacts.					
10. E	valuate resources-at-risk and protect down	stream sensitive resources and minimize response related environmental impacts.					
11. C	Coordinate wildlife reconnaissance and reco	overy actions and appropriate rehabilitation procedures with natural resource trustees.					
12. N	Monitor releases to the environment.						
	Use monitoring results to inform and support oating and temporarily moored houseboats	t public safety personnel and the residents including commercial and recreational					
	Coordinate response actions and resource in Assessment & Restoration (NRDAR) proces	nformation with natural resource trustees and the Natural Resource Damage es.					
	Coordinate operations to evaluate impact to esources downstream.	Mississippi River Pool 8, and potentially impacted residents, water intakes and					

16.

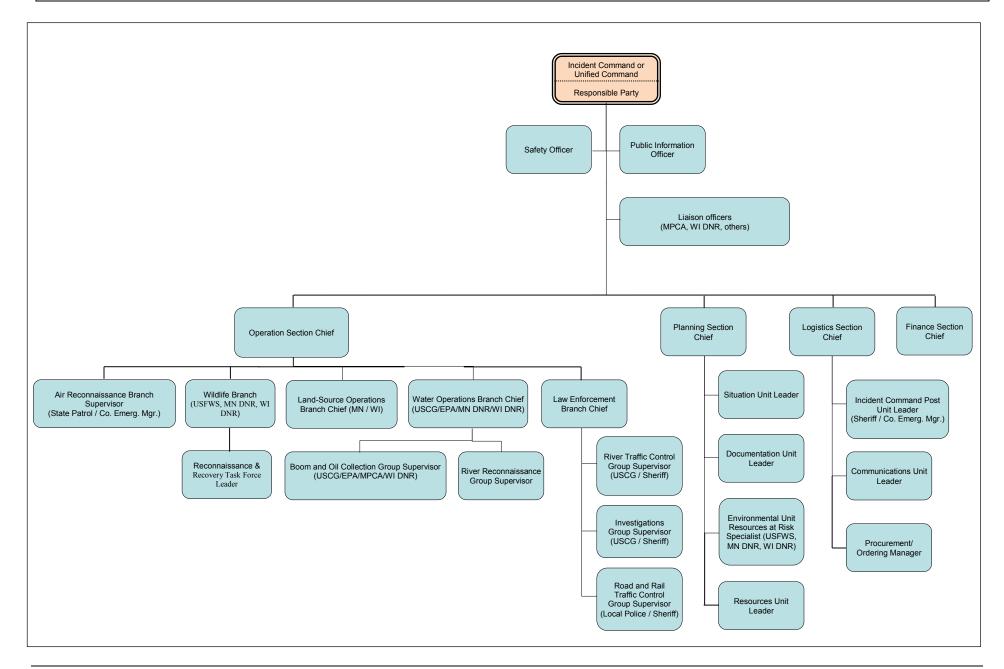
Incident name: ICS – 234 WORK ANALYSIS MATRIX UMR Pool 8						
Objectives Desired Outcome			Recommended Strategies General Plan and Direction		Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
UNIFIED COMMAND	UNIFIED COMMAND	Develop a response structure	☐ Finding out viable Responsible Party ☐ Integrate company into the Unified Command (Usually as a Liaison Officer)		□ Determine Responsible Parties (RP) □ Set strategies and tactics with expected time frame with responsible party and their contractor □ Hire a response contractor(s) if RP not adequately responding □ Open Oil Pollution fund to conduct clean up and oversight of RPs if needed	
	UNIFIED	Establish Unified Command and establish Incident Command post and/or Emergency Operations Centers	☐ Integrate organizations, including USFWS into ICS ☐ Develop the IAP	S (land owner),	☐ Develop feasible response alternatives ☐ For technical assistance or funding, request help from Federal agencies- USCG or US EPA ☐ For technical assistance, request help from State agencies- WI DNR and/or MPCA	
					Review data with health experts	
	SAFETY	Ensure protection of health and safety of the public and response personnel	☐ Establish road, rail, and airspace controls☐ Establish shore/land perimeter control are☐ Temporally shut down public boat launche boats if necessary	eas		
	SA		☐ Develop and implement an all-agency sta ☐ Written HASP (if hazmat or more than on period) ☐ Prepare Boat Safety Check List and Float crews	e operational	☐ Assign a safety officer (s)	
	LIAISON	Provide notification to all impacted communities, states, federal agencies including downstream notifications	 ☐ Ensure notifications to principal partners, federal responders and land owners such as any tribes. ☐ Ensure notifications to downstream states municipalities, drinking water intakes, and ecosensitive businesses 	USFWS and s,	 ☐ Assign person to track proper notifications ☐ Contact private and public water supply utilities. ☐ Follow Upper Mississippi Response Plan Notification List (UMR Field Guide and IAP phone list) ☐ Establish a Natural Resource Damage Assessment & Restoration Liaison 	
	ı				☐ Issue Federal Notice of Interest to RPs☐ USFWS and WI DNR and/or MN DNR identifies the liaison representing the natural resource trustees	
	PUBLIC INFORMATION	Coordinate and release information to ICS personnel, media, and other appropriate organizations	☐ Establish interagency and JPIC - PIO liaisons. ☐ Develop a multi-agency communications plan ☐ Provide Information resources to all organization needs ☐ Provide information resources to ICPs and EOCs (and JPIC) ☐ Provide timely situation updates to SEOC or local EOCs		communications to impacted public Assign Public Information Officers to specific locations	
			<u></u>		R	

		Objectives Desired OutcomeRecommended Strategies General Plan and DirectionRecommended Tactics or Tasks How, Who, What, Where, When			Status Operational Period # 1
	LAW ENFORCEMENT	Secure the incident Collect evidence Prevent or minimize movement of evidence		☐ USCG / Sheriff lead on River Traffic Mgmt. ☐ Police / Sheriff lead on road and rail traffic control ☐ Collect photo evidence and document the scene ☐ Coordinate with USFWS law enforcement and state conservation officers and wardens to ensure collection and storage of evidence to enforce federal and state wildlife laws	
OPERATIONS SECTION	LAND-SOURCE OR WATER OPERATIONS	Conduct operations to stop the spill, contain, recover or exclude released material in Pool 8 and downstream	☐ Mobilize company responders, local spill COOP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors ☐ Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment ☐ Mobilize local personnel and resources ☐ Activate Spill Response Contractors (if company response too slow or inadequate) ☐ Activate oil boom collection and recovery plan	□ Contain and stabilize oil-sources. □ Establish ignition source controls in hot zone □ Safely collect materials without creating nuisance conditions □ Implement an oil recovery plan □ Establish parameter and hot zone □ Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC □ Utilize barges to divert or collect spilled material □ Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones)	
	WILDLIFE	Reconnaissance for impacted fish and wildlife	☐ Establish Wildlife Branch to respond to threats of oiled wildlife ☐ Prepare to recover and rehabilitate oiled wildlife	□ USFWS, WI DNR and/or MN DNR will implement the following Plans, as necessary: - "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals - "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation areas □ USDA APHIS WS or other resource managers will oversee recovery of oiled wildlife and hazing operations □ USFWS, WI DNR and/or MN DNR will oversee wildlife care and rehabilitation of oiled wildlife, as necessary □ Wildlife Branch Director will ensure the RP contracts with a licensed wildlife rehabilitation organization to provide rehabilitation and other professional services	
	ONNAISSANCE &		☐ Reconnaissance by IC organization staff, (reminder: need safety plan) ☐ Reconnaissance by compilation of information by others ☐ Joint reconnaissance with local authorities ☐ Air- flight reconnaissance and reporting	☐ Establish & deploy Reconnaissance Team(s) ☐ Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE Hydraulics personnel, NOAA, or private resources to provide spill trajectories ☐ Wildlife Reconnaissance and Recovery Teams provide real time field situational updates on the location of oil and related environmental impacts to the Planning Section Environmental Unit to help direct Shoreline Cleanup Assessment Technique (SCAT)	
	RECO	recreational boating, and temporarily moored houseboats	☐ Conduct air monitoring and water sampling ☐ Coordinate monitoring with the Environmental Unit, the NRDAR Liaison, and Wildlife Branch.	☐ Conduct perimeter air sampling, water sampling at scene and down river ☐ Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison and the Wildlife Branch	
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Objectives Desired Outcome			Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
			☐ Establish an Environmental Unit to identify and evaluate sensitive resources	Request assistance from the USFWS, MN DNR, WI DNR, or MPCA. Open up the Oil Spill Liability Trust Fund (OSLTF) for Pollution Removal Fund Authorization (PRFA). Initiate Endangered Species Act Section 7 emergency consultation. Establish linkage between Planning Section Environmental Unit and the Operations Sections for technical assistance on methods to avoid and minimize response related injury to natural resources.	
				☐ Conduct spill modeling and spill trajectories ☐ Develop a monitoring plan for water quality	 □ Provide assessment information to unified command □ Contact air & water experts on agency call back lists (examples include hydraulic personnel, state water division contacts, biologists) □ Conduct spill trajectory and time of travel to predict downstream impacts
PLANNING SECTION	ENVIRONMENTAL	Evaluate resources at risk and protect sensitive resources in Pool 8 and downstream	☐ Coordinate with Wildlife Branch to identify and evaluate sensitive resources	□ USFWS, WI DNR, and MN DNR will identify and evaluate sensitive natural resources, including seasonal and site-specific conditions (see Pool 8 Site-Specific Response Strategies (Overview Map) and ISA Maps). □ USFWS, WI DNR, and MN DNR will make a preliminary determination of the extent to which planned response actions may affect natural resources and suggest measures to avoid and minimize impacts. □ USFWS, WI DNR, and MN DNR will recommend and advise implementation of Response Strategies developed for Pool 8 □ Coordinate with Wildlife Branch to assist with development of plans to recon, haze, recover, and rehabilitate oiled wildlife □ USFWS, USDA WS, and state resource managers will develop: Wildlife Recon & Recovery Plan; Wildlife Hazing Plan; Wildlife Stabilization and Transport Plan; Wildlife Rehabilitation Plan.	
PLANNING		risk and protect sensitive resources in Pool 8 and downstream Ensure proper Documentation of the		☐ Request the NRDAR Liaison to coordinate the natural resource trustees to make a preliminary determination of the need for emergency restoration ☐ Coordinate collection, analysis, and results (including photo documentation) of environmental media (soils, sediments, water, etc.) and dead or dying fish and wildlife with natural resource trustee law enforcement via the NRDAR Liaison. Trustees may collect ephemeral data.	
	JMENTATION	Ensure proper Documentation of the incident		 □ Coordinate collection and documentation of evidence with USFWS, MPCA, MN DNR, and WI DNR (as applicable) for respective fish and wildlife enforcement actions and potential NRDAR actions □ Collect agency evidence for identified enforcement issues □ Track expenses for cost recovery 	
	шпэоа		☐ Data management for ICS	Assign staff to create maps, track personnel, catalog resources, etc.	
	RESOURCES	Acquisition and delivery of resources such as equipment, materials etc.	☐ Develop a river traffic management plan	☐ Provide personnel with adequate safety equipment and initial and daily briefings ☐ Provide clear guidance that each organization is responsible for safety protocol in first operational period	

Objectives Desired Outcome			Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
7	INCIDENT COMMAND POST	Establish incident facilities including the command post, staging areas & other facilities as incident develops	☐ If HUMAN INJURY occurs- Immediately establish a Medical/EMS section in ICS	☐ Consider establishing medical/EMS if persons threatened with exposure	
LOGISTICS SECTION	COMMUNICATION	Provide and manage communications	☐ Implement and maintain communications with all incident command posts, emergency operations centers and responders	☐ Assign a communications coordinator (unit leader), make a phone list, etc. ☐ Request additional communication resources if needed	
	PROCUREMENT	Ensure procurement of materials and supplies & administer accounts receivable and payable to contract and noncontract vendors	☐ Establish a Procurement unit and Ensure procurement of materials and supplies	 ☐ Ensure separate accounting of all contracts specifically related to the emergency incident and of all purchases within the enactment of the emergency incident management plan. ☐ Obtain authorization to initiate and finalize purchases ☐ Interpret and initiate contracts/agreements to minimize costs ☐ Maintain log of all purchases related to the incident and initiate the Procurement Summary Report 	

Initial Command Structure for the first operational period of an oil spill. Click here to have a workable and printable version of the Organization Chart



1. Incident name:	2. Operational Period		ICS – 204 ASSIGNMENT						
3. Section		Operations	LIST						
	4. Division/Group	Law Enforcement	UMR Pool 8						
5. Agencies Involved in Law Enfo	rcement Group								
Agencies that might play a role in La	aw Enforcement Group:								
 Local Fire, Police, Sheriff 	 Local Fire, Police, Sheriff & Hazmat Teams US Fish and Wildlife Service (USFWS) Wisconsin DNR Minnesota DNR 								
Agency General Roles and Respo	nsibilities: Refer to the	Roles and Responsibilities Table provided in the	his Incident Action Plan						
6. Recommended Strategies and	Factics								
Secure scene immediatelyCollect photo evidence an	y- Police / Sheriff lead on ad document the scene aw enforcement and state	n, no-boating area. USCG / Sheriff lead on River T road and rail traffic control e conservation officers and wardens to ensure col							
7. Incident-Specific Assignments									
8. Special Instructions for Division	n/Group								

9. Operations	9. Operations Personnel									
	Title		Name	Affiliation		Eme	rgency Conta	ct #		Contact # (s)
Communicat	ions: Refer to the Pool 8	3 Emergenc	y Contact List provi	ided in this Incident	t Actio	n Plan				
10. Resource	Summary (see UMR Sp	ill Respons	e Plan and Resourc	ce Manual for inform	nation	on Spill	Response and	d Cleanu	ıp Res	ources)
ID	Resource Type	9	Description	/ Location	Qu	antity	Size	Statu	ıs	Notes/ Comments
					_				_	
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					_					
									_	
				_						
11. Additiona	l Information									
(Name/Title) Approved by							Date/Ti			
(Name/Title)	· 						Date/Ti	me:	_	

1. Incident name:	2. Operational Period	#1	ICS – 204 ASSIGNMENT
	3. Section Operations		LIST
	4. Division/Group	Containment	UMR Pool 8

5. Agencies Involved in Contain Release Group

Agencies that might play a role in Containment Group:

- Responsible Party/Facility/Industry/Contractors
- Counties & Emergency Management
- Local Fire, Police, Sheriff & Hazmat Teams
- Minnesota Pollution Control Agency (MPCA)
- Wisconsin Department of Natural Resources (WI DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

- Mobilize company responders, local spill COOP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors.
- Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment.
- Mobilize local personnel and resources.
- Activate Spill Response Contractors (if company response too slow or inadequate).
- Activate and implement oil boom collection and recovery plan.
- Contain and stabilize oil-sources.
- Establish ignition source controls in hot zone.
- Safely collect materials without creating nuisance conditions.
- Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC.
- Utilize barges to divert or collect spilled material.

 Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones).
7. Incident-Specific Assignments
8. Special Instructions for Division/Group

9. Operations	s Personnel						
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)
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						-	
						-	
						-	
						_	
Communicati	ions: Refer to the Pool 8	Emergency Contact List pro	vided in this Incident	t Action Plan			
10. Resource	Summary (see UMR Spi	II Response Plan and Resou	rce Manual for inforn	nation on Sp	ill Response and	d Cleanup	Resources)
ID	Resource Type	Descriptio	on/ Location	Quantity	Size	Status	Notes/ Comments
		_					
					<u> </u>		
11. Additiona	l Information						
Prenared Rv							
Prepared By: (Name/Title)					Date/Ti		
Approved by (Name/Title)	·				Date/Ti	me:	

1. Incident name:	2. Operational Period	# 1	ICS – 204 ASSIGNMENT
	3. Section Operations		LIST
	4. Division/Group	Wildlife Branch	UMR Pool 8

5. Agencies Involved in Wildlife Recovery & Rehabilitation Group

Agencies that might play a role in the Wildlife Branch:

- Responsible Party/Facility/Industry/Contractors
- Minnesota Department of Natural Resources (MN DNR)
- Wisconsin Department of Natural Resources (WI DNR)
- USDA APHIS Wildlife Services (USDA WS)
- US Fish and Wildlife Service (USFWS)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

- Establish a Wildlife Branch to respond to threats and reports of oiled wildlife
 - Request assistance from USFWS, WI DNR, MN DNR and/or USDA-WS
 - Conduct wildlife reconnaissance
- Prepare to deter wildlife away from the incident
- Prepare to recover and rehabilitate oiled wildlife
 - Determine the potential need for professional and volunteer rehabilitation
- USFWS, USDA WS, and/or state resource managers will implement the following Plans, as necessary:
- "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals
- "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation

"Wildlife Hazing Plan" if wildlife is attracted to oiled habitats
7. Incident-Specific Assignments
O Considerations for Division/Consum
8. Special Instructions for Division/Group

9. Operations	s Personnel						
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)
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						_	
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						_	
Communicati	ions: Refer to the Pool 8	Emergency Contact List pro	vided in this Inciden	t Action Plan			
10. Resource	Summary (see UMR Spi	II Response Plan and Resou	rce Manual for inform	nation on Sp	ill Response and	d Cleanup	Resources)
ID	Resource Type	Descriptio	on/ Location	Quantity	Size	Status	Notes/ Comments
		_					
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		_					
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11. Additiona	l Information						
Prenared By:							
Prepared By: (Name/Title)					Date/Ti		
Approved by (Name/Title)	·				Date/Ti	me:	

1. Incident name:	2. Operational Period	# 1	ICS – 204 ASSIGNMENT
	3. Section	Operations	LIST
	4. Division/Group	Reconnaissance & Monitoring	UMR Pool 8

5. Agencies Involved in Reconnaissance and Monitoring Group

Agencies that might play a role in Reconnaissance and Monitoring Group:

- Responsible Party/Facility/Industry/Contractors
- Minnesota Department of Natural Resources (MN DNR)
- Wisconsin Department of Natural Resources (WI DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

- Establish & deploy Reconnaissance Team(s)
- Reconnaissance by IC organization staff, (reminder need safety plan)
- Reconnaissance by compilation of information by others
- Joint reconnaissance with local authorities
- Air-flight reconnaissance and reporting
- Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE
 Hydraulics personnel, NOAA, or private resources to provide spill trajectory's
- · Conduct air monitoring and water sampling. Conduct perimeter air sampling, water sampling at scene and down river
- Conduct monitoring with the Planning Section's Environmental Unit and the NRDAR Liaison.
- Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison

7. Incident-Specific Assignments		
8. Special Instructions for Division/Group		

9. Operations	s Personnel						
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)
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						-	
						_	
						_	
						_	
Communicati	ions: Refer to the Pool 8	Emergency Contact List pro	vided in this Inciden	t Action Plan			
10. Resource	Summary (see UMR Spi	II Response Plan and Resou	rce Manual for inform	nation on Sp	ill Response and	d Cleanup	Resources)
ID	Resource Type	Descriptio	on/ Location	Quantity	Size	Status	Notes/ Comments
		_					
		_					
		_					
					<u> </u>		
11. Additiona	l Information						
Prenared By:							
Prepared By: (Name/Title)					Date/Ti		
Approved by (Name/Title)	·				Date/Ti	me:	

1. Incident name:	2. Operational Period	# 1	ICS – 204 ASSIGNMENT
3. Section		Planning	LIST
	4. Division/Group	Environmental Unit	UMR Pool 8

5. Agencies Involved in Reconnaissance and Monitoring Group

Agencies that might play a role in Planning Section Environmental Unit:

- U.S. Fish and Wildlife Service (USFWS)
- Minnesota Department of Natural Resources (MN DNR)
- Wisconsin Department of Natural Resources (WI DNR)
- National Oceanic and Atmospheric Administration (NOAA)
- Minnesota Pollution Control Agency (MPCA)
- Responsible Party/Facility/Industry/Contractors

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

- Establish an Environmental Unit to evaluate resources at risk and protect sensitive resources in Pool 8 and downstream
 - o Request assistance from USFWS, MN DNR, WI DNR, and/or MPCA
 - Identify and evaluate sensitive natural resources, including seasonal and site-specific conditions (see Pool 8 Site-Specific Response Strategies)
 - o Make a preliminary determination of the extent to which planned response actions may affect natural resources and suggest measures to avoid/minimize impacts
 - Make recommendations on implementation of response strategies, and coordinate closely with the Operations Section to avoid and minimize response related injury to natural resources
 - o Initiate Endangered Species Act Section 7 emergency consultation
 - o Coordinate with the Wildlife Branch to provide information to assist in the development of the follow plans: Wildlife Reconnaissance, Wildlife Hazing, Wildlife Recovery, Wildlife Transportation, and Wildlife Rehabilitation; and obtaining any necessary wildlife-related permits
- Conduct spill modelling and spill trajectories
 - Provide information to Unified Command
- Develop a monitoring plan for water quality
 - o Contact air and water experts on agency call back lists (examples include hydraulic personnel, state water division contacts, biologists)
- Coordinate natural resource information with NRDAR liaison

7. Incident-Specific Assignments
B. Special Instructions for Division/Group

9. Operations	s Personnel						
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)
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						-	
						_	
						_	
						_	
Communicati	ions: Refer to the Pool 8	Emergency Contact List pro	vided in this Inciden	t Action Plan			
10. Resource	Summary (see UMR Spi	II Response Plan and Resou	rce Manual for inform	nation on Sp	ill Response and	d Cleanup	Resources)
ID	Resource Type	Descriptio	on/ Location	Quantity	Size	Status	Notes/ Comments
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11. Additiona	l Information						
Prenared By:							
Prepared By: (Name/Title)					Date/Ti		
Approved by (Name/Title)	·				Date/Ti	me:	

Incident name:	Operation	nal Period#	‡ 1	ICS – 205 INCIDENT COMMUNICATION PLAN
	From		То	UMR Pool 8

Position	Name	Phone	e-mail	Current Location
Incident Commander and Staff				
Incident Commander(s)				
Safety Officer				
Information Officer				
Liaison Officer				
Water Operations Section Chief				
Land-Source Section Chief				
Wildlife Branch Section Chief				
Logistics Section Chief				
Planning Section Chief				
Law Enforcement Section Chief				
Prepared By: (Name/Title)			Date/Time:	
Approved By: (Name/Title)			Date/Time:	

UMR POOL 8 EMERGENCY CONTACT LIST

NAME	ORGANIZATION	Emergency#	Office Phone	E-Mail	Other (Radio)
Local	ORGANIZATION	Linergency #	Office I florie	L-Man	other (Radio)
911					
Mark Olson	Houston County EM	911	507-725-5834	mark.olson@co.houston.mn.us	
Tracy Hameau	•	911	608-789-4811	thameau@lacrossecounty.org	
Brandon Larson	,	911	608-637-5266	brandon.larson@vernoncounty.org	
	·				
Sgt. Tom Walsh	La Crosse Police Dept.	911	608-789-7206	walsht@cityoflacrosse.org	
Chief Jeff Schott		911	608-789-7260	SchottJ@cityoflacrosse.org	
Interim Chief Les Norin	·	911	608-781-9546		
Private					
Derek Lampkin	BNSF Railroad	612-760-1365	763-782-3350	Derek.Lampkin@bnsf.com	
John Giebenhain	CPKC Railway	800-716-9132		iohn_giebenhain@cpr.ca	
Brian Black	Xcel Energy	651-380-0662	612-330-6249	Brian.j.black@xcelenergy.com	
	Midwest Fuels		608-783-6411		
State					
Wisconsin Spill Hotline	WI Spill Hotline	800-943-0003	608-242-3232		
Minnesota Duty Officer	MN D.O.	651-649-5451	800-422-0798	Duty.officers@state.mn.us	
Iowa Duty Officer	IA D.O.	515-725-8694	515-725-8694		
Shane Dodge	IA DNR Region 1		563-927-2640 x302	shane.dodge@dnr.iowa.gov	
Michael Peterson	MN HSEM		612-505-1819	michael.r.peterson@state.mn.us	
Mike Rose	MPCA		507-206-2609	mike.rose@state.mn.us	
Jayson Schrank	WI DNR West Central Spills Coordinator		715-410-8841	jayson.schrank@wisconsin.gov	
Lisa Olson-McDonald	WI Emergency Mgmt.	608-220-6087	715-839-3825	lisa.olsonmcdonald@wisconsin.gov	
Brenda Kelly	WI DNR Fisheries		608-785-9994	Brenda.kelly@wisconsin.gov	
Ron Wieland	MN DNR		651-259-5157	Ronald.wieland@state.mn.us	
Cody Adams, Cons. Warden	WI DNR		608-485-0108	Cody.adams@wisconsin.gov	
Federal					
		800-424-8802	800-424-8802		
		215-266-5155	215-597-5012	john_nelson@ios.doi.gov	
US EPA Region 5	EPA	312-353-2318	312-353-2318		
Lock Master LD7	USACE	651-290-5186	651-290-5186		Channel 14
Lock Master LD8		651-290-5035	651-290-5035		Channel 14
Lock Master LD9		608-874-4311	608-874-4311		Channel 14
		866-360-3386	314-704-9502		
MSD St. Paul		866-360-3386	952-806-0021		
Barbi Lee	EPA Region 5		312-886-5296	<u>lee.barbi@epa.gov</u>	
Andy Maguire	EPA Region 5	312-758-8672	312-353-8782	maguire.andrew@epa.gov	
David Morrison	EPA Region 5		651-706-4116	morrison.david@epa.gov	
Jon Schultz	USACE			jon.r.schultz@usace.army.mil	
Tim Miller, Refuge Mgr.	USFWS La Crosse Dist.		608-779-2385	tim a miller@fws.gov	Primary Refuge contact
Cheryl Groom, Deputy Mgr.	USFWS La Crosse Dist.		608-779-2386	cheryl groom@fws.gov	
Dustin Schelling, LE Officer	USFWS La Crosse Dist.		608-779-2397	Dustin schelling@fws.gov	
Quanit Ali, Wildlife Biologist	USFWS La Crosse Dist.		608-779-9861	<u>quanit_ali@fws.gov</u>	
Sarah Warner		608-301-6475		Sarah Warner@fws.gov	
Annette Trowbridge		612-702-9581	612-713-5104	Annette Trowbridge@fws.gov	
Reena Bowman	USFWS, Twin Cities	920-634-5435	952-252-0092 x208	reena bowman@fws.gov	
Gary Nohrenberg	, ,	866-487-3297	651-224-6027	Gary.nohrenberg@aphis.usda.gov	
Daniel Hirchert	USDA APHIS WS (WI)	866-487-3297	608-837-2727	Daniel.l.hirchert@aphis.usda.gov	

1. Incident name:	2. Operational Period# 1				ICS – 206 MEDICAL PLAN					
	From		То		UMR Pool 8					
	•									
3. First Aid Stations										
Name		Location				EMT (C	On-Site)		Phone	Radio
						es	N			
						<u>]</u>				
						<u> </u>				
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					L	<u> </u>				
4. Transportation (Ground and/o	Ambuland	e Services)								
Name		Location					On-Site)		Phone	Radio
						es	N			
						 7				
]				
]				
5. Hospitals										
					Hel	ipad	Burn (Center	Dharra	
Name		Location			Yes	No	Yes	No	Phone	Radio
6. Special Medical Emergency Pr	ocedures									
Prepared By: (Name/Title)								Date	/Time:	
Approved by: (Name/Title)								Date	/Time:	_

1. Incident name:	me: 2. Operational Period# 1		ICS – 223 HEALTH AND SAFETY MESSAGE			
	From		То		UMR Pool 8	
	I.					
3. Potential Hazards						
4. General Safety Concerns						
5. Additional Information						
Draward Dir						
Prepared By: (Name/Title)	<u> </u>			 	Date/Time:	
Approved by: (Name/Title)				 	Date/Time:	

1. Incident name:	2. Opera	ational Period# 1		
	From	То	<i>ICS – 214</i> UNIT ACTIVITY LOG	
3. Unit name:	4. Unit Leader:		UMR Pool 8	

5. Instructi	ions for completing the form								
Field #	Field Title	Instructions							
1.	Unit Name	For individuals: Ent	nter tactical call (e.g. Checkpoint #, County EOC, etc.) or position name						
1.	Officialite	For teams: Enter the name of the organization unit or tactical call sign or resource designator							
2.	Unit Leader	For individuals: Enter your name and call sign							
۷.	Office Leader	For teams: Enter the name, call sign and ICS position of the individual in charge of the unit							
_		For individuals: Leave blank							
3.	Personnel Assigned	For teams: List the roperation period	name, ICS position and home base/city of ea	ach member assigned to the unit during the					
		Time: Enter the loca	l time 24-hour format						
4.	Activity Log	Activity: Briefly desc difficulties encountered	cribe each significant activity or event (e.g. taed, etc.).	ask assignments, task completions, injuries,					
6. Personn	nel Assigned								
	NAME		ICS POSITION	HOME BASE/ CITY					
7. Activity	Log								
TIM	E		MAJOR EVENTS						
Prepared E (Name/Title	e) ———			Date/Time:					
Approved (Name/Title	by: e) ———			Date/Time:					